

Attorney Docket No. 98-041-3
98-041-3

PATENT

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By: Patricia K. Parry 6/3/99
Patricia K. Parry

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of John D. Baxter, et al.

Serial No.: 09/281,717

Examiner: Not yet assigned

Filed: March 30, 1999

Art Unit: 1648

For: METHODS AND COMPOUNDS FOR MODULATING NUCLEAR
RECEPTOR COACTIVATOR BINDING

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Application Processing Division
Customer Correction Branch

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the Patent and Trademark Office in the above-identified application for which issuance of a corrected filing receipt is respectfully requested.

The error to be corrected is as follows:

In the Applicants, change "BRIAN WEST" to read – BRIAN L. WEST -

- ☐ [] The correction is required due to Applicant's error and a check including the amount of \$25.00 is enclosed to cover the fee under 37 CFR 1.19(h).
- ☒ [X] The correction is required due to Patent Office error, and no fee is believed to be required.

Enclosed please find copies of the following documents in support of this request.

- 1) Copy of Application Transmittal as filed on March 30, 1999
- 2) Copy of Official Filing Receipt as received from U.S. Patent Office.

The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication or credit any overpayment to Deposit Account 03-3117:

- ☒ Any national application filing fees under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

A duplicate copy of this sheet is attached for accounting purposes.

Cooley Godward LLP
Attn: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155
Tel: (650) 843-5000
Fax: (650) 857-0663

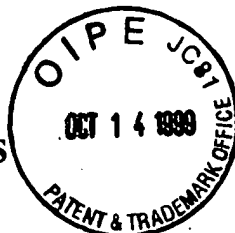
Respectfully submitted,
COOLEY GODWARD LLP

By:

Shelley P. Eberle
Shelley P. Eberle
Reg. No. 31,411

SPE:pkp

Attorney Docket No: UCAL-253/02US
98-041-3



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Date of Deposit:

March 30, 1999

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Date:

March 30, 1999

By:

Christine Manchester

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

EM570540315US

NEW PATENT APPLICATION TRANSMITTAL

1. Transmitted herewith for filing is a:

- (a) ☒ utility patent application – converted from U.S. Provisional Application Serial Nos. 60/079,965, filed March 30, 1998 and 60/113,146, filed December 16, 1998.
☐ design patent application
☐ plant patent application
- (b) Inventor(s): John D. Baxter; Beatrice Darimont; Weijun Feng; Robert J. Fletterick; Peter J. Kushner; Richard L. Wagner; Brian L. West; and Keith R. Yamamoto

For: METHODS AND COMPOUNDS FOR MODULATING NUCLEAR
RECEPTOR COACTIVATOR BINDING

- (c) ☒ 19 sheets of formal drawing(s).

Address all correspondence in this application to the undersigned agent at the address set out below.

Cooley Godward LLP
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Respectfully submitted,
COOLEY GODWARD LLP

By:

James A. Bradburne, Ph.D.
Reg. No. 38,389

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FILING RECEIPT

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MISSIONER
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APPLICATION NUMBER	FILING DATE	GRANT UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/281,717	03/30/99	1648	\$0.00	US-293/020	19	33	5

COOLEY GODWARD
PATENT GROUP
FIVE PALO ALTO SQUARE
3000 EL CAMINO REAL
PALO ALTO CA 94306-2155

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JOHN D. BAXTER; BEATRICE DARIMONT; WEIJUN FENG;
ROBERT J. FLETTERICK; PETER J. KUSHNER; RICHARD L. WAGNER;
BRIAN WEST; KEITH R. YAMAMOTO.

CONTINUING DATA AS CLAIMED BY APPLICANT-

PROVISIONAL APPLICATION NO. 60/079,965 03/30/98

PROVISIONAL APPLICATION NO. 60/113,146 12/16/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/16/99

TITLE

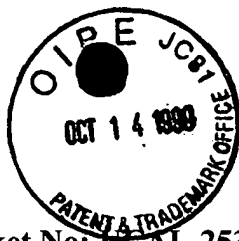
METHODS AND COMPOUNDS FOR MODULATING NUCLEAR RECEPTOR COACTIVATOR BINDING

PRELIMINARY CLASS: 435

DATA ENTRY BY: MONROE, BEULAH

TEAM: 02 DATE: 04/16/99

18/99 COPY



Receipt

Attorney Docket No: UCAL-253/02US
98-041-3

PATENT

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NOV 9 1999

By: *Leslie Hoffmann*

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of John D. Baxter, et al.

Serial No.: 09/281,717

Examiner: Not yet assigned

Filed: March 30, 1999

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For: METHODS AND COMPOUNDS FOR MODULATING NUCLEAR
RECEPTOR COACTIVATOR BINDING

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Application Processing Division
Customer Correction Branch

REQUEST FOR SECOND CORRECTED FILING RECEIPT

Attached is a copy of the Corrected Official Filing Receipt received from the Patent and Trademark Office in the above-identified application for which issuance of a second corrected filing receipt is respectfully requested.

The error to be corrected is as follows:

In the Applicants, change "BRIAN WEST" to read - BRIAN L. WEST -

- ☐ [] The correction is required due to Applicant's error and a check including the amount of \$25.00 is enclosed to cover the fee under 37 CFR 1.19(h).
- ☒ [X] The correction is required due to Patent Office error, and no fee is believed to be required.

Enclosed please find copies of the following documents in support of this request.

- 1) Copy of the Request for Corrected Filing Receipt as filed on June 3, 1999 in the U.S. Patent Office.

The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication or credit any overpayment to Deposit Account 03-3117:

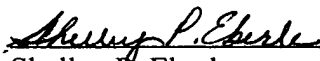
- ☒ Any national application filing fees under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

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Cooley Godward LLP
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Palo Alto, CA 94306-2155
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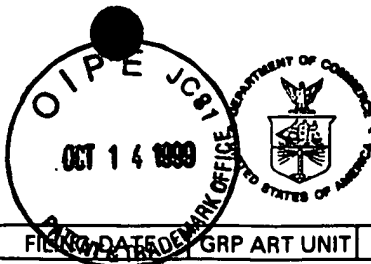
Respectfully submitted,
COOLEY GODWARD LLP

By:


Shelley P. Eberle
Reg. No. 31,411

SPE:lfh
449560 v1/PA
9MVS01!.DOC
100199/1603

FILING RECEIPT
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE
Pat nt and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SPE

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/281,717	03/30/99	1648	\$770.00	UCAL-253/02U	19	33	5

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PATENT GROUP
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Applicant(s) JOHN D. BAXTER, SAN FRANCISCO, CA; BEATRICE DARIMONT,
SAN FRANCISCO, CA; WEIJUN FENG, SAN FRANCISCO, CA;
ROBERT J. FLETTERICK, SAN FRANCISCO, CA; PETER J. KUSHNER,
SAN FRANCISCO, CA; RICHARD L. WAGNER, SAN FRANCISCO, CA;
BRIAN WEST, SAN FRANCISCO, CA; KEITH R. YAMAMOTO,
SAN FRANCISCO, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-
PROVISIONAL APPLICATION NO. 60/079,965 03/30/98
PROVISIONAL APPLICATION NO. 60/113,146 12/16/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/16/99 ** SMALL ENTITY **
TITLE
METHODS AND COMPOUNDS FOR MODULATING NUCLEAR RECEPTOR COACTIVATOR
BINDING

PRELIMINARY CLASS: 435

DATA ENTRY BY: MONROE, BEULAH

TEAM: 04 DATE: 06/29/99

(See reverse for new important information)